



State Audit Committee
Office of Internal Audit Assessment

Weight	Category	Measure 1. Above 2. Meets 3. Does Not Meet	Comment
50%	Work output and processes		
	<ul style="list-style-type: none"> Assesses risks and controls related to the Audit Committee's charge. Has an effective process for prioritizing audit work. Issues internal audit reports on a timely basis and in sufficient detail to allow for effective action by management. Keeps the Audit Committee apprised of the audit plan progress.		
25%	Management of resources		
	<ul style="list-style-type: none"> Has sufficient staff in terms of size, experience, and budgetary resources to meet the objectives of the state. Has professional certified staff. Has the technical knowledge to ensure that duties are performed correctly. Sufficiently completes internal audit plan activities		
25%	Leadership qualities		
	<ul style="list-style-type: none"> Determines the scope of work without limitations by management. Has adequate contact with management and the audit committee to ensure that appropriate responses are made to its recommendations and comments. Effectively responds to Audit Committee's questions. Is respected by senior management but maintains an appropriate degree of independence. Is respected by the external auditors but maintains an appropriate degree of independence. Provides adequate assurance in areas requested by the Audit Committee. Brings forward significant issues to the Audit Committee that might not otherwise be disclosed to the committee. Is respected within the auditing profession. (Examples would be as a frequent speaker, writing articles, industry organizations, etc.) 		

Dimension Rating Levels:

Above – Performance exceed the State Audit Committee's expectations.

Meets – Performance satisfies the State Audit Committee's expectations.

Does Not Meet – Performance generally fails to meet the State Audit Committee's expectations.