

FISCAL YEAR 2015 ANNUAL PLAN STATUS - September 2014

PRJT	ENGAGEMENT	1	2	3	4	Comments
ADJ-01	Billenting and Event Facilities					
DAS-01	Rate Development					
DAS-02	Payroll Data Analysis					
DAS-03	Voyager Card					
DAS-20	SLA Enforcement Process				S	
DAS-21	IT Processes	S				
DAS-22	Security Policy Review				S	
DAS-23	Open Systems SSAE 16		S			
DAS-24	SOCC Asset Management		S			
DAS-25	TSR Process			S		
AGE-01	Medicaid Provider Services					
AGE-20	Business-IT Alignment	S				
AGR-01	Payment Card					Also performed some consulting work for this project at client's request
AGR-20	IT Processes		S			
OBM	Shares Services A/P					will be done in future FY due to OAKS upgrade effects on A/P process
OBM-02	OAKS upgrade/e-settlement					Management wanted process reviewed prior to implementation
OBM-03	Payment Card					
OBM-04	Federal Schedule Reconciliation					
OBM-05	Pre-Collections					carried over from FY 14 annual plan since service line pilot was delayed
CCC-01	Data Security				S	
CCC-02	Licensing					project may change due to client operations
CCC-20	Access Control	S				
COM-01	Licensing/Permitting					
COM-02	Compliance-Based Programs					
COM-03	DFI Operations					
COM-04	Liquor Control - Special Project					Management requested process review/recalculation of taxes distributed
COM-20	Business-IT Alignment		S			
COM-21	IT Vendor & Asset Management				S	
DSA-01	Community Housing Improvmt Prgm					
DSA-02	Third Frontier Program					
DSA-03	Motion Picture Tax Credits					
DSA-20	Business-IT Alignment		S			
DSA-21	Access Control			S		
DSA-22	IT Vendor & Asset Management				S	
DDD-01	Developmental Centers					
DDD-02	Self-Waiver Program					
DDD-20	Business-IT Alignment			S		
EPA-01	Recycling Program					
EPA-02	Diesel Bus Program					
EPA-20	Business-IT Alignment			S		
ODE-01	Competitive Grant Award Process					Ohio Dept. of Education, not under OIA purview, requested this work
ODE-02	CCIP Governance					Ohio Dept. of Education, not under OIA purview, requested this work
ODH-01	Healthy Ohio					
ODH-02	Maternal Child Program					
ODH-03	Grant Application & Award					
ODH-04	WIC EBT					
ODH-20	Business-IT Alignment			S		
ODH-21	IT Security Processes			S		
ODI-01	Onboarding/Off-Boarding Process					
ODI-20	Business-IT Alignment			S		
JFS-01	Adoption/Foster Care					
JFS-03	Food Assistance					
JFS-10	UC Improper Payments					work continued from fiscal year 2014

JFS-20	IT General Controls				S	
JFS-21	Business-IT Alignment	S				
JFS-22	Access Control		S			
JFS-23	IT Vendor & Asset Management			S		
OLC-01	Video Lottery Terminal Reviews					
OLC-20	IT Processes				S	
OLC-21	Business-IT Alignment				S	
OLC-30	Assurance Provider Review					
ODM-01	Fraud and Abuse					
ODM-02	Home & Community-Based Prgms					
ODM-20	IT Security Processes			S		
ODM-21	IT Vendor & Asset Management		S			Change to consulting due to expanded scope & new system development
ODM-22	IT General Controls		S			
MHA-01	Procurement					Change to consulting since client decided to update procurement process
MHA-02	Hospital Reimbursement Process					Client request for consulting to address hospital collection process
MHA-20	Business-IT Alignment	S				
DNR-01	Federal Disbursement Process					
DNR-02	Oil and Gas Permitting					
DNR-20	Business-IT Alignment				S	
OOD-01	Procurement/Contract Management					
OOD-02	Payment Card					Also performed some consulting work for this project at client's request
OOD-20	Business-IT Alignment	S				
DPS-01	Undercover Purchases					
DPS-02	Driving Suspension Process					
DPS-20	IT Processes		S			
DPS-21	Business-IT Alignment	S				
PUC-01	Annual Report Filings					
PUC-02	Customer Complaints					
BOR-01	OETC Revenue					
BOR-02	Articulation & Transfer					
BOR-03	OTTA Operations					
BOR-10	SSI Review					
DRC-01	Local Funds					
DRC-02	Grant Monitoring					
DRC-20	Business-IT Alignment			S		
TAX-01	Cigarette Taxes					
TAX-02	Holding Funds					
TAX-20	Business-IT Alignment				S	
TAX-21	IT Security Processes				S	
DOT-01	Turnpike Bond Revenue					
DOT-20	IT General Controls	S				
DOT-21	Business-IT Alignment	S				
DOT-22	IT Data Governance	S				
BWC-20	IT General Controls	S	S	S	S	
BWC-21	Business-IT Alignment			S		
BWC-22	CORE Consulting				S	BWC delayed CORE implementation past November 2014
BWC-30	Assurance Provider Review					
DVS-01	State Operations					
DYS-01	Procurement					
DYS-02	Fleet Management					
DYS-20	Business-IT Alignment		S			

Note: Security Record (S) per ORC 149.433

9/18/2014

Key for color coding

Assurance	Consulting
	Added
	Deleted

FISCAL YEAR 2015 ANNUAL PLAN STATUS - STATISTICS

	First	Second	Third	Fourth
PUBLIC REPORTS TO COMMITTEE	4			

PERCENTAGE OF OIA AUDIT EFFORT:

ASSURANCE - PUBLIC REPORTS	27%			
ASSURANCE - SECURITY RECORDS	24%			
CONSULTING	49%			

OIA STAFFING/ANNUAL PLAN STATUS:

OIA STAFFING LEVEL (23 Planned)	20.5			
ANNUAL PLAN BUDGET (34,771 hrs)	20%			
COMPLETION OF PLAN (103 audits)	23%			
PERSONAL SERVICES SPENT	21%			