

STATE OF OHIO
STATUS OF PRIOR YEAR (2013) FINDINGS AND QUESTIONED COSTS
JULY 1, 2013 THROUGH JUNE 30, 2014

AGENCY/COMMENTS	Finding Number	Type of Finding	Type of Finding in FY14 if different than FY13	Resolved	Repeated Finding #	No Longer Reportable
Ohio Office of Budget and Management (OBM)						
1. Finding for Recovery - Incorrect Leave Payout	2013-001-OBM-001	Finding for Recovery		X		
Ohio Department of Insurance (INS)						
1. Finding for Recovery - Incorrect Leave Payout	2013-002-INS-001	Finding for Recovery		X		
Ohio Department of Alcohol and Drug Addiction Services (ADA)						
1. SAPT - Subrecipient Monitoring	2013-003-ADA-001	Noncompliance/ Material Weakness		X		
2. SAPT - Independent Peer Reviews	2013-004-ADA-002	Noncompliance/ Material Weakness		X		
3. SAPT - Cash Management	2013-005-ADA-003	Noncompliance/ Significant Deficiency		X- partially resolved	X - ML	
Ohio Attorney General (AGO)						
1. Medicaid Fraud Control Unit - Case Management	2013-006-AGO-001	Significant Deficiency		X		
Office of Budget and Management (OBM)						
1. Federal Schedule - Various Program	2013-007-OBM-01	Significant Deficiency			2014-004	
Ohio Department of Development Services Agency (DEV)						
1. HEAP - Period of Availability	2013-008-DEV-01	Questioned Costs			2014-005	
2. HEAP - Eligibility	2013-009-DEV-02	Questioned Costs/ Significant Deficiency		X		
3. Suspension and Debarment	2013-010-DEV-03	Noncompliance/ Significant Deficiency		X		
4. Inspections - HWAP Program	2013-011-DEV-04	Noncompliance/ Material Weakness		X		
Ohio Department of Developmental Disabilities (DMR)						

AGENCY/COMMENTS	Finding Number	Type of Finding	Type of Finding in FY14 if different than FY13	Resolved	Repeated Finding #	No Longer Reportable
1. Medicaid - Provider Certification and Reviews	2013-012-DDD-01	Significant Deficiency			2014-007	
Ohio Department of Education (EDU)						
1. Various Programs - Transparency Act Reporting	2013-013-EDU-01	Noncompliance/ Material Weakness			2014-009	
Ohio Environmental Protection Agency (EPA)						
1. CWSRF & DWSRF - Invoice Reviews	2013-014-EPA-01	Questioned Costs/ Material Weakness			2014-014	
2. CWSRF & DWSRF - Subrecipient Monitoring	2013-015-EPA-02	Noncompliance/ Material Weakness			X - ML	
Ohio Department of Job and Family Services (JFS)						
1. Medicaid/CHIP - Managed Care	2013-016-JFS-01	Questioned Costs/ Material Weakness		X		
2. Medicaid - Ineligible Recipient - CRIS-E/MITS Variances	2013-017-JFS-02	Questioned Costs/ Significant Deficiency			2014-025	
3. Medicaid/CHIP - Claim Service Date	2013-018-JFS-03	Questioned Costs		X		
4. Medicaid/CHIP - Prior Authorization	2013-019-JFS-04	Questioned Costs/ Significant Deficiency		X		
5. Child Care - Copayment Amounts	2013-020-JFS-05	Questioned Costs/ Significant Deficiency			2014-018	
6. Missing Eligibility Documentation - Various Counties	2013-021-JFS-06	Questioned Costs/ Significant Deficiency	Questioned Costs @ JFS QC/Sign. Def. @ MCD		2014-017 2014-026	
7. IEVS - Due Dates and Result Code Errors	2013-022-JFS-07	Questioned Costs/ Material Weakness			2014-016	
8. MITS - Claims Reimbursed in Excess of OAC Limits	2013-023-JFS-08	Questioned Costs/ Significant Deficiency	Questioned Costs		2014-027	
9. Medicaid/CHIP - Provider Eligibility	2013-024-JFS-09	Noncompliance/ Material Weakness		X- partially resolved	X-Verbal	
10. CCDF - Type B Homes - Various Counties	2013-025-JFS-10	Noncompliance/ Material Weakness			2014-019	
11. Reporting - TANF - ACF-196 & Foster Care CB-496	2013-026-JFS-11	Noncompliance/ Material Weakness		X - Partially Resolved	2014-020	
12. Various - Programs - Transparency Act Reporting	2013-027-JFS-12	Noncompliance/ Material Weakness			2014-022	

AGENCY/COMMENTS	Finding Number	Type of Finding	Type of Finding in FY14 if different than FY13	Resolved	Repeated Finding #	No Longer Reportable
13. Federal Schedule - Various Programs	2013-028-JFS-13	Noncompliance/ Material Weakness		X		
14. Various Programs - Cash Management	2013-029-JFS-14	Noncompliance/ Significant Deficiency	Noncompliance/ Material Weakness		2014-023	
15. Medicaid/CHIP - Long Term Care Provider Eligibility	2013-030-JFS-15	Noncompliance/ Significant Deficiency		X		
16. Federal Revenue Controls	2013-031-JFS-16	Material Weakness/ Significant Deficiency		X		
Ohio Department of Public Safety (DPS)						
1. Subrecipient Monitoring	2013-032-DPS-01	Noncompliance/ Material Weakness	Noncompliance	X- partially resolved	2014-035	
2. Federal Transparency Act - Subaward Monitoring	2013-033-DPS-02	Noncompliance/ Material Weakness	Material Weakness	X- partially resolved	2014-036	
Ohio Rehabilitations Services Commission (RSC)						
1. Vocational Rehabilitation - Documentation of Eligibility	2013-034-RSC-01	Significant Deficiency		X		
Ohio Department of Transportation (DOT)						
1. Federal Schedule - Highway Safety Cluster	2013-035-DOT-01	Noncompliance/ Material Weakness		X		