

**FISCAL YEAR 2015 ANNUAL PLAN STATUS - June 2015**

PRJT	ENGAGEMENT	1	2	3	4	Comments (present quarter changes in red)
ADJ-01	Billiting and Event Facilities					
DAS-01	Rate Development					
DAS-02	Payroll Data Analysis					
DAS-03	Voyager Card					Q4 reporting due to client delays/preparation of management responses
DAS-20	SLA Enforcement Process			S		Moved from Q4 to Q3
DAS-21	IT Processes	S				
DAS	Security Policy Review (C)					Client did not prepare a new security policy in FY 15/no report
DAS-23	Open Systems SSAE 16		S			
DAS-24	SOCC Incident Problem Change		S			
DAS-25	TSR Process			S		
AGE-01	Medicaid Provider Services					
AGE-20	Business-IT Alignment					
AGR-01	Payment Card					Also performed some consulting work for this project at client's request
AGR-20	IT Processes		S			
OBM	Shares Services A/P (A)					will be done in future FY due to OAKS upgrade effects on A/P process
OBM	OAKS upgrade/e-settlement (C)					Engagement not performed due to FIN upgrade delay
OBM-02	Ohio Elections Commission					Commission requested engagement for revenue collection process
OBM-03	Payment Card					
OBM-04	Federal Schedule Reconciliation					
OBM-05	Pre-Collections					carried over from FY 14 annual plan since service line pilot was delayed
OBM-20	Business-IT Alignment					Client request to add this project
CCC	Data Security (A)					per agency's request, this audit will not be performed in FY 15
CCC	Licensing (A)					client requested delay to FY 16 due to client operations
CCC-02	Voluntary Exclusion Program					client agreed to substitute this engagement for licensing
CCC-20	Access Control	S				
COM-01	Industrial Compl.Licensing/Permitting					
COM-02	Compliance-Based Programs (C)					New leadership-this project will be revised & performed in FY 15/16
COM-03	DFI Operations					
COM-04	Liquor Control - Special Project					Management requested process review/recalculation of taxes distributed
COM-20	Business-IT Alignment					
COM-21	IT Vendor & Asset Management				S	
DSA-01	Community Housing Improvmt Prgm					Change to consulting due to client system change
DSA-02	Third Frontier Program					Change to consulting (new pre-seed capitalization program)
DSA-03	Motion Picture Tax Credits					
DSA-20	Business-IT Alignment					
DSA-21	Access Control			S		
DSA-22	IT Vendor Management				S	
DDD	Developmental Centers (A)					Client request to omit project due to potential DC closings
DDD-01	Medicaid Admin. Claiming Program					Project added per agency's request
DDD-02	Self-Waiver Program					
DDD-20	Business-IT Alignment					
EPA-01	Recycling Program					
EPA-02	Diesel Bus Program					
EPA-20	Business-IT Alignment					Moved project from Q3 to Q2 due to availability in schedule
ODE-01	Competitive Grant Award Process					Dept. of Education requested this work-change to Q3 due to client delays
ODE-02	Straight A Grant Program (A)					ODE requested this engagement late in Q4- will be completed in FY 16
ODE-03	Blind/Deaf Schools-Procure/Payroll (C)					completed in FY 16 since start of audit delayed by client unavailability
	CCIP Governance (C)					Agency requested delay of project to FY 16
ODH-01	Children with Medical Handicaps					ODH requested consulting engagement
	Healthy Ohio (A)					OIA decision not to perform audit in FY 16 due to lack of resources
ODH-02	Maternal Child Program					
ODH-03	Grant Application & Award					
	WIC EBT (C)					Consulting project delayed to FY 16-system proposal not yet completed
ODH-20	Business-IT Alignment					Agency is re-organizing - project will be completed in early FY 16
ODH-21	IT Security Processes			S		
ODI-01	Onboarding/Off-Boarding Process (A)					will be completed in FY 16 due to client delay in starting audit
ODI-20	Business-IT Alignment					Moved project from Q3 to Q2 due to availability in schedule
JFS-01	Adoption/Foster Care					
JFS-03	Food Assistance (C)					will be completed in FY 16 due to permission required for data access
JFS-10	UC Improper Payments					work continued from fiscal year 2014
JFS-20	Access Control			S		Move to Q3 in order to complete data-driven testing
JFS-21	Business-IT Alignment					

JFS-22	IT General Controls				S		
JFS-23	IT Vendor & Asset Management				S		
OLC-01	Video Lottery Terminal Reviews (A)						will be completed in FY 16 due to client unavailability/testing delays
OLC-20	IT Processes				S		
OLC-21	Business-IT Alignment						
OLC-30	Assurance Provider Review						
ODM-01	Fraud and Abuse						Changed to assurance since no significant changes occurred
ODM-02	Home & Community-Based Prgms						
ODM-20	IT Security Processes				S		
ODM	IT Vendor & Asset Management (A)						After further evaluation with client, project was replaced by project below
ODM-21	Operationalizing Medicaid IT				S		New consulting project based on client request -moved to Q3
ODM-22	IT General Controls				S		Moved to Q4 due to follow-up needed on weakness determined by AOS
MHA-01	Procurement						Change to consulting since client decided to update procurement process
MHA-02	Budgeting & Reporting						Client request for consulting to address hospital collection process
MHA-20	Business-IT Alignment						
DNR-01	Federal Disbursement Process						
DNR-02	Oil and Gas Permitting (A)						release will be delayed to FY 16
DNR-03	Clean Ohio Trail Reconciliation						small project requested by the agency
DNR-20	Business-IT Alignment						
OOD-01	Procurement/Contract Management						
OOD-02	Payment Card						Also performed some consulting work for this project at client's request
OOD-20	Business-IT Alignment						
DPS-01	Hwy Patrol Investigatory Petty Cash						
DPS-02	Driving Suspension Process						
DPS-03	EMA Homeland Security						Added per Agency's request due to requirement by Federal EMA
DPS-20	IT Processes				S		
DPS-21	Business-IT Alignment						
PUC-01	Annual Report Filings						
PUC-02	Customer Complaints						
BOR-01	OETC Revenue						
BOR-02	Articulation & Transfer						
BOR-03	OTTA Operations						
BOR-10	SSI Review						work continued from fiscal year 2014
DRC-01	Local Funds						
DRC-02	Grant Monitoring						
DRC-20	Business-IT Alignment						Moved project from Q3 to Q2 due to availability in schedule
TAX-01	Cigarette Taxes					S	
TAX-02	Holding Funds				S		
TAX-20	Business-IT Alignment					S	
TAX	IT Security Processes (A)						Project removed due to IRS security review performed in FY 15
DOT-01	Turnpike Bond Revenue						Changed to assurance
DOT-20	IT General Controls				S		
DOT-21	Business-IT Alignment						
DOT-22	IT Data Governance				S		
BWC-20	IT General Controls		S	S	S	S	
BWC-21	CORE Consulting					S	CORE implementation delayed-performing ongoing consulting activities
BWC-22	Business-IT Alignment						
BWC-30	Assurance Provider Review						
DVS-01	State Operations						
DYS-01	Procurement						
DYS-02	Fleet Management						
DYS-20	Business-IT Alignment						Move to FY 16 Q1 due to management change
<b>Note: Security Record (S) per ORC 149.433</b>							Assurance (A) - blue Consulting (C) - canary
6/17/2015			Added				Deleted

## FISCAL YEAR 2015 ANNUAL PLAN STATUS - STATISTICS

	First	Second	Third	Fourth
PUBLIC REPORTS TO COMMITTEE	4	9	10	7

### PERCENTAGE OF OIA AUDIT EFFORT:

ASSURANCE - PUBLIC REPORTS	27%	43%	49%	48%
ASSURANCE - SECURITY RECORDS	24%	37%	34%	35%
CONSULTING	49%	20%	17%	17%

### OIA STAFFING/ANNUAL PLAN STATUS:

OIA STAFFING LEVEL (23 Planned)	20.5	20	21	22
ANNUAL PLAN BUDGET (34,771 hrs)	20%	41%	61%	82%
COMPLETION OF PLAN (100 audits)	23%	47%	74%	94%
PERSONAL SERVICES SPENT	21%	45%	71%	91%

Quarter 4 - 22 engagements

- 7 public reports - assurance
- 6 security record - assurance
- 3 Business-IT Alignment assessments
- 6 consulting engagements (2 security records)

Quarter 4 plan changes

- 2 added consulting engagements (ODE, Elections Commission); 2 added assurance (ODE, DDD);
- 4 dropped engagements (TAX, DAS, OBM, DDD)
- 6 engagements to be completed in FY 16 (ODE (2); OLC, ODI, DNR, JFS)

	Financial Assurance Audits				IT Assurance Audits				Financial Consulting				IT Consulting				QA Assurance Review				TOTAL			
<b>ORIGINAL PLAN FOR YEAR</b>	36				40				15				5				2				98			
<b>Audit approach change- Net (i.e. consulting to assurance)</b>	-1								1								0							
<b>Plus Additions</b>	4				1				10				1				0				16			
<b>Less Deletions</b>	4				5				4				1				0				14			
<b>Revised Baseline</b>	35				36				22				5				2				100			
<b>COMPLETIONS</b>	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
<b>YTD Completions</b>	4	9	11	7	10	9	8	9	9	4	3	4	1	1	1	2	1	1			24	24	24	22
<b>YTD Percentage Completed v. Original Plan</b>	11%	36%	67%	86%	25%	48%	68%	90%	60%	87%	107%	133%	20%	40%	60%	100%	0%	50%	100%	100%	24%	49%	73%	96%
<b>YTD Percentage Completed v. Revised Baseline</b>	11%	37%	69%	89%	28%	53%	75%	100%	41%	59%	73%	91%	20%	40%	60%	100%	0%	50%	100%	100%	24%	48%	72%	94%
<b>Total Reports per type</b>	31 *				36				20				5				2				94			

\* all are public reports except for one (TAX)